

Liontrust Asset Management Plc has received Change in Control approval from the FCA for the acquisition of Neptune Investment Management Limited (“Neptune”). We are writing to inform you of the name, address and contact detail changes effective as at 1 October 2019.

Following the acquisition, the name of Neptune will change to Liontrust Investment Management Limited (“LIM”) and the registered address of the company will change to 2 Savoy Court, London WC2R 0EZ. LIM will remain as the Authorised Fund Manager of the Neptune Unit Trust and the Authorised Corporate Director of the 3 Neptune OEICs but their names will change:

- the Neptune Balanced Fund Unit Trust will change its name to the **Liontrust Balanced Fund** Unit Trust;
- the Neptune Investment Funds OEIC will change its name to the **Liontrust Investment Funds I** OEIC;
- the Neptune Investment Funds II OEIC will change its name to the **Liontrust Investment Funds II** OEIC; and
- the Neptune Investment Funds IV OEIC will change its name to the **Liontrust Investment Funds IV** OEIC.

The sub-funds of the OEICs will also change their names and details of all the fund name changes are attached. The investment objectives and the investment managers of the funds will remain unchanged.

Please be advised that the *Neptune Global Income Fund*, will be renamed to the *Liontrust Global Dividend Fund*. This name change is to prevent confusion with the existing Liontrust Global Income Fund. Please note that the Liontrust Global Income Fund will remain unchanged.

We would be grateful if you could make the necessary amendments to facilitate the smooth implementation of these changes and we look forward to working closely together going forwards. There are no immediate plans to change the operating model beyond those communicated in this email and attached. Our funds will continue to be administered by DST Financial Services Limited and the bank account details remain unchanged.

You are receiving this notification as you are on our Corporate Action database, please be aware that these changes may not be relevant to you.

If you have any questions relating to the acquisition or any other matter, please do not hesitate to contact us on 0370 707 0073 (or +44 1268 443976 if calling from outside the UK).

Investor Services

Neptune Investment Management | 3 Shortlands | London | W6 8DA

Tel: 020 3249 0100

Email: Investor.Services@neptune-im.co.uk | Web: www.neptunefunds.com



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